

DIVISION OF OCCUPATIONAL AND PROFESSIONAL LICENSING  
Heber M. Wells Building  
160 East 300 South  
PO Box 146741  
Salt Lake City UT 84114-6741  
Telephone: (801) 530-6628

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**BEFORE THE CONSTRUCTION SERVICES COMMISSION  
OF THE DEPARTMENT OF COMMERCE  
OF THE STATE OF UTAH**

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IN THE MATTER OF THE LICENSE OF : **NOTICE OF AGENCY ACTION**  
**DENNIS W. MOSS PLUMBING** : **TO REVOKE CONTRACTOR'S**  
 : **LICENSE FOR FAILURE**  
 : **TO COMPLY WITH ORDER**  
TO PRACTICE AS A CONTRACTOR : **AND FAILURE TO DEMONSTRATE**  
IN THE STATE OF UTAH : **FINANCIAL RESPONSIBILITY**  
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 :  
 : Case No. DOPL-OSC-2012-165

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**THE CONSTRUCTION SERVICES COMMISSION TO DENNIS W. MOSS  
PLUMBING ("Respondent"):**

**DENNIS W. MOSS PLUMBING**

The Division of Occupational and Professional Licensing ("the Division") hereby files this notice of agency action. The jurisdiction and authority for this action is §58-1-401(2).

This action is filed based upon a review of the Division's records and investigation ("file") that indicate Respondent has engaged in unprofessional conduct by: failing to comply with the conditions stated in the 4/26/2012 Order as required by Utah Code Annotated Sections 58-1-501(2)(a), and failure to demonstrate and maintain financial responsibility as required under Utah Code Annotated Sections 58-55-306 and 58-55-502(1), such that Respondent's license should be subject to disciplinary action.

**ALLEGATIONS AND AUTHORITY**

1. In particular the evidence in the Division's file indicates that Respondent has engaged in the following conduct:

**I. FAILURE TO COMPLY WITH ORDER**

2. Respondent is, and at all times relevant to this proceeding, licensed to practice as a Contractor. Respondent initially became so licensed on or about 15 or more years ago.

3. As a result of Respondent's failure to maintain and demonstrate financial responsibility, Respondent's license was placed on probation subject to various terms and conditions on 04/26/2012. That probationary order was based on a stipulation between the Division and Respondent.

4. Respondent has engaged in the following conduct, in violation of the 4/26/2012 probationary order.

a. Pursuant to paragraph 8, Respondent agreed to provide to the Division tax returns on an annual basis. On or about 12/26/2012 the Division sent out letters requesting Respondent to submit business and personal credit reports and tax returns. Respondent has provided the credit reports, but the 2011 tax returns submitted appear to have no name or identifying information on them and it appears not to have been filed. In addition, the forms submitted show a balance of \$1009 due to the State of Utah and \$3419 due to the Internal Revenue service. Accordingly, Respondent is in violation of paragraph 8.

i. Respondent has not furnished evidence that these obligations have been paid.

ii. Respondent has not submitted copies of 2012 tax returns.

5. As a result of the conduct described above, Respondent has failed to comply with an order in violation of Utah Code Annotated §§ 58-1-501(2)(a).

6. Respondent has failed to comply with an order and no longer meets the qualifications for licensure which conduct constitutes unprofessional conduct and therefore provide a basis to revoke Respondent's license pursuant to Utah Code Annotated § 58-1-401.

**II. FAILURE TO MAINTAIN AND DEMONSTRATE FINANCIAL RESPONSIBILITY**

7. Division records and investigation indicate Respondent has engaged in unprofessional conduct by failing to maintain and demonstrate financial responsibility as required under Utah code Annotated Sections 58-55-306 and 58-55-502(1).

8. Since entering into the Stipulation, the Respondent has submitted 2011 tax returns with no name or identifying information, but has provided no verification the tax returns have drafted or have been filed or an extension for 2012 tax returns. *Exhibit A*. It appears that the reason the tax returns 2011 was not completed and filed may be that the Respondent could not or would not pay the \$3,419 due to the IRS or the \$1,009 due to the Utah State Tax Commission.

9. Respondent has failed to maintain and demonstrate financial responsibility in violation of Utah Code Annotated §§ 58-1-501(2)(a) and no longer meets the qualification for licensure as provided by Utah Code Annotated § 58-55-306 and Utah Administrative Code § R156-55a-306.

10. Based on the above financial history, Respondent has failed to demonstrate and maintain financial responsibility, which constitutes a basis to revoke Respondent's license pursuant to Utah Code Annotated Section 58-1-401. See Utah Code Annotated §§ 58-1-401(1) ("The division . . . shall refuse to renew or shall revoke, suspend, restrict, place on probation, or otherwise act upon the license of a licensee who does not meet the qualifications for licensure under this title."); Section 58-1-401(2)(a) ("The division . . . may refuse to renew or may revoke, suspend, restrict, place on probation, issue a public reprimand to, or otherwise act upon the license of any licensee [who has] engaged in unprofessional conduct . . . "). See also Utah Code Annotated Section 58-55-502(1) ("Unprofessional conduct includes . . . failing to establish, maintain, or demonstrate financial responsibility while licensed as a contractor under this chapter.")

#### **RELIEF SOUGHT**

The Division seeks Revocation of Licensure to practice as a Contractor in the State of Utah.

#### **NOTICE OF PROCEDURES**

This action is designated as an informal adjudicative proceeding ("proceeding") in §R156-46b-202 pursuant in accordance with §63G-4-202(1).

You may represent yourself or legal counsel may represent you, at your own expense, while this action is pending. If you are represented by legal counsel, your attorney must file a Notice of Appearance with the Division at the address stated below. Until an Entry of appearance is filed, the presiding officer, or others within or representing the Division, will communicate directly with you.

Dan S. Jones, Bureau Manager, is designated as the coordinator for the Construction Services Commission ("Commission"). The Commission is the presiding officer over this informal proceeding. If you or your attorney has any questions regarding the procedures related to this matter, Mr. Jones can be contacted at the above address, or via telephone at (801) 530-6720.

The proceeding will be conducted pursuant to §63G-4-203. There is no right to a hearing in this proceeding. The decision in this matter will be based upon a review of the Division's file.

Within ten (10) days of the mailing date of this notice, you may obtain by written request a copy of the relevant and nonprivileged contents of the Division's file related to this proceeding. Your request, if any, should be submitted to the address stated below.

**Within thirty (30) days of the mailing date of this notice, you are required to file a written response herein.** A response may be helpful to clarify, refine, or narrow the facts and violations related to the basis for this action as contained in the Division's file.

If you fail to file a response, it will constitute a basis for entry of default, and an order will be entered by the Presiding Officer without your participation. It will be based upon a review of the Division's file and, as deemed necessary, a review and recommendation by the Commission.

If you file a response, it will be included in the Division's file and will be considered by the presiding officer. An original of your response, if any, should be mailed or hand delivered to:

DIVISION of OCCUPATIONAL and PROFESSIONAL LICENSING  
Attn: Dan S. Jones  
**(By Mail):**

PO Box 146741  
Salt Lake City UT 84114-6741  
**(By Hand Delivery):**  
160 East 300 South, 4th floor  
Salt Lake City, Utah

If you file a Response, you will be notified if this action is placed on the Commission's agenda. Commission meetings are normally open to the public and public comment may be provided if permitted by the chair of the Commission. However, please note that if this action is placed on the Commission's agenda, it is an agenda item, not a disciplinary hearing.

At the expiration of your deadline to submit a response, and without further notice to you, the presiding officer will make an informal review of the record in this matter and determine whether you have failed to comply with the Division's Order, in violation of Utah Code Annotated Section 58-1-501(2)(a).

The maximum administrative sanction in this case is revocation of your license to practice as a contractor in the State of Utah.

Please conduct yourself accordingly.

Dated this 13 day of May, 2013.

W. Ray Walker  
W. RAY WALKER  
Regulatory and Compliance  
Officer

