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BEFORE THE DIVISION OF OCCUPATIONAL AND PROFESSIONAL LICENSING
OF THE DEPARTMENT OF COMMERCE
OF THE STATE OF UTAH

IN THE MATTER OF THE LICENSE OF)
RONALD D. JENSEN) STIPULATION AND ORDER
TO PRACTICE AS A LICENSED)
CLINICAL SOCIAL WORKER) CASE NO DOPL 2012- *LG*
IN THE STATE OF UTAH)

RONALD D. JENSEN ("Respondent") and the **DIVISION OF OCCUPATIONAL AND PROFESSIONAL LICENSING** of the Department of Commerce of the State of Utah ("Division") stipulate and agree as follows

- 1 Respondent admits the jurisdiction of the Division over Respondent and over the subject matter of this action
- 2 Respondent acknowledges that Respondent enters into this Stipulation knowingly and voluntarily

3 Respondent understands that Respondent has the right to be represented by counsel in this matter and Respondent's signature below signifies that Respondent has either consulted with an attorney or Respondent waives Respondent's right to counsel in this matter

4 Respondent understands that Respondent is entitled to a hearing before the State of Utah's Social Worker Licensing Board ("Board"), or other Division Presiding Officer at which time Respondent may present evidence on Respondent's own behalf, call witnesses, and confront adverse witnesses. Respondent understands that by signing this document Respondent hereby waives the right to a hearing, the right to present evidence on Respondent's own behalf, the right to call witnesses, the right to confront adverse witnesses, and any other rights to which Respondent may be entitled in connection with said hearing. Respondent understands that by signing this document Respondent hereby knowingly and intelligently waives the right to all administrative and judicial review as set forth in Utah Code Ann. §§ 63G-4-301 through 63G-4-405, and Utah Administrative Code R151-46b-12 through R151-46b-15. Respondent and the Division hereby express their intent that this matter be resolved expeditiously through stipulation as contemplated in Utah Code Ann. § 63G-4-102(4).

5 Respondent waives the right to the issuance of a Petition and a Notice of Agency Action in this matter

6 Respondent acknowledges that this Stipulation and Order, if adopted by the Director of the Division, will be classified as a public document. The Division may release this Stipulation and Order, and will release other information about this disciplinary action against Respondent, to other persons and entities.

- 7 Respondent admits the following facts are true
- a Respondent was first licensed as a licensed clinical social worker in the State of Utah on or about July 14 1994
 - b On or about July 20, 2011, Respondent was issued a letter of concern for misrepresenting to a Division investigator information concerning a Division investigation focusing on Respondent regarding allegations of a dual relationship with a patient and for disclosing privileged information concerning the same patient
 - c On or about December 12, 2011, Respondent was a responsible party who assisted in submitting an application for licensure as a Class B methadone pharmacy, under the name of Compass Pointe, to the Division Respondent was the operations manager for Compass Pointe and failed to disclose on the application that any officer, manager or owner associated with the company had a criminal history
 - d An opening inspection was subsequently conducted by the Division and Respondent signed a Memorandum of Understanding with the Division on or about January 17, 2012
 - e On or about January 19, 2012, the Division issued a license to Compass Pointe based upon the information represented by Respondent within the application
 - f On or about August 27, 2002, a Compass Pointe company officer, hereinafter referred to as John Doe #1, pleaded guilty to one count of Securities Fraud, a 2nd degree felony, and one count of Unregistered Securities Agent, a 3rd degree felony in a United States District Court John Doe #1 was sentenced to 180 days for the Securities Fraud conviction and to 185 days for the Unregistered Securities Agent charge
 - g On or about June 30, 2004, John Doe #1 pleaded guilty in the U.S. District Court for the District of Utah to one felony count of Submitting a False Loan Application
 - h On or about January 19, 2006, a Compass Pointe company officer, hereinafter referred to as John Doe #2, pleaded guilty to one felony count of Fraud by Wire in the United States District Court for the District of Utah
 - i John Does #1 and #2 and Respondent all intended to obtain licensure for a Class B methadone pharmacy from the Division while omitting from the Division that John Does #1 and #2 had felony convictions

- j Documents obtained by the Division showed that John Does #1 and #2 routinely conducted business on behalf of Compass Pointe Respondent knew of this and routinely conducted business with John Does #1 and #2 at Compass Pointe

8 Respondent admits that Respondent's conduct described above is unprofessional conduct as defined in Utah Code Ann § 58-1-501(2)(a), (b), and (h), and Utah Administrative Code R156-60a-502 and Principle 1.06, 3.07, 3.09, and 4.04 of the Code of Ethics of the National Association of Social Workers, and unlawful conduct as defined in Utah Code Ann § 58-1-501(1)(e), and that said conduct justifies disciplinary action against Respondent's license pursuant to Utah Code Ann § 58-1-401(2)(a) and (b). Respondent agrees that an Order which constitutes disciplinary action against Respondent's license by the Division pursuant to Utah Administrative Code R156-1-102(6) and Utah Code Ann § 58-1-401(2), shall be entered in this matter as follows:

- (1) Respondent's license shall be revoked. The revocation of Respondent's license shall be immediately stayed and Respondent's license shall be subject to a term of probation for a period of three years. The period of probation shall commence on the effective date of this Stipulation and Order, which is the date the Division Director signs the Order. During the period of probation, Respondent shall be subject to all of the following terms and conditions. If the Board or Division later deems any of the conditions unnecessary, such deletions may be made by an amended order issued unilaterally by the Division.
- (a) **Meetings with the Board.** Respondent shall meet with the Board and Division at the first scheduled Board meeting after the effective date of the Order with a written plan for complying with the requirements of Respondent's probation, including the name(s) of evaluator(s), if applicable. Respondent shall thereafter meet with the Board quarterly, or at any frequency directed by the Board. Respondent shall contact Division Compliance Specialist Connie Call within 2 weeks of the effective date of this Order to schedule a meeting for the purpose of discussing the terms of the Order. An appointment with Ms. Call can be scheduled by contacting her by phone at (801) 530-6295 or by email at escall@utah.gov.

- (b) **Limitation of Practice** Respondent shall not provide any mental health therapy or social work services to any methadone patient
- (c) **Supervision Required** Respondent shall practice only under the supervision of a Division and Board pre-approved supervisor during the term of Respondent's probation. Any changes in supervision may be made only with the consent of the Division and Board. Respondent shall deliver a copy of this Order to Respondent's supervisor (within ten days of the establishment of the supervisory relationship) and cause Respondent's supervisor to notify the Division in writing that a copy has been received
- (d) **Extent of Supervision** Respondent shall meet weekly with Respondent's supervisor. Supervision goals shall include concurrent management, oversight of therapeutic intervention services, professional relationships and practices, and appropriate and timely documentation. The supervisor shall sit in on, videotape, or audio tape, at least two clinical sessions per month. The supervisor shall choose which clinical sessions to sit in on, videotape, or audio tape. The supervisor shall review 20% of Respondent's current patient files. The supervisor shall choose which files shall be reviewed. The supervisor shall confirm that Respondent is keeping proper and adequate client records
- (e) **Duties of Supervisor** The supervisor shall oversee clinical interventions and review records. The supervisor shall also address issues pertaining to boundary violations, dual relationships, transference and counter-transference, and other issues the supervisor determines are pertinent to ethical practice
- (f) **Supervisor Reports** Respondent shall cause Respondent's supervisor to submit reports to the Board and Division assessing Respondent's compliance with the terms of Respondent's probation and ethical standards and rules. The reports shall be submitted monthly for the first six months and quarterly thereafter, or at such frequency as directed by the Board and Division. The receipt of an unfavorable report may be considered to be a violation of probation
- (g) **Supervisor Reports if Respondent Not Employed.** If Respondent is not currently employed in Respondent's licensed profession, Respondent shall submit the supervisor report form on the date it is due and indicate on the form that Respondent is not currently employed in Respondent's licensed profession, or that Respondent is not currently working
- (h) **Notification of Employer of Stipulation** Respondent shall notify any

employer of Respondent's restricted status and the terms of this Stipulation and Order. Respondent shall provide a copy of this Stipulation and Order to Respondent's employer and cause Respondent's employer to acknowledge to the Division and Board in writing that a copy of the Order has been provided to the employer. The employer shall submit employer reports to the Division and Board on a monthly basis for the first six months and quarterly thereafter or at such frequency as directed by the Division or Board. The employer shall write the Division and indicate whether the employer will provide the periodic employer reports.

- (i) **Psychological Evaluation.** Respondent shall successfully complete a psychological evaluation provided by a Division-approved licensed provider within ninety (90) days of the effective date of this Stipulation and Order. Respondent shall schedule the initial appointment to be held within 45 days of the effective date of this Stipulation and Order. Respondent shall attend all appointments and follow-up appointments in a timely manner. Respondent shall cooperate fully with the evaluator to ensure a fair and complete evaluation. Respondent shall notify the Division immediately after successfully completing the evaluations and inform the Division that Respondent has successfully completed the evaluations.
- (j) **Ongoing Therapy and Release of Information.** Respondent shall attend any therapy recommended in any evaluation report until the Division or Board decides otherwise. Respondent shall successfully complete any therapy or treatment recommended by the psychological evaluation report in a timely manner. Respondent shall follow any treatment recommendations made by the evaluator, the Division, and/or Board. Respondent shall execute any necessary releases to allow the therapist to provide reports to the Division and Board regarding Respondent's progress. Respondent's therapy should focus on the areas of concern identified by the evaluator. Respondent shall cause progress reports to be submitted to the Division and Board by the therapist on a quarterly basis, or at a greater or lesser frequency as determined by the Division and Board. Respondent shall be responsible for all treatment expenses.
- (k) **Additional Continuing Professional Education.** Respondent shall complete three additional hours of continuing professional education, pre-approved by the Board and Division, in the area of Respondent's licensed field of practice with emphasis in the areas of ethics. The three additional hours of continuing professional education shall be completed within one year from the date of this Stipulation and Order. The three additional

hours of continuing education hours shall not count toward the regular continuing professional education requirement for license renewal. Respondent shall provide documentation to the Division and Board of successful completion of the three additional hours.

- (l) **Essay and Practice Plan** Respondent shall submit a 500 word essay to the Division and Board addressing Respondent's violations and applicable Utah law that applies to Respondent's violations. The essay shall also address lessons learned from the continuing education course described in subparagraph (k) above. The essay shall be submitted with 30 days of Respondent successfully completing the continuing education course described in subparagraph (k) above. Respondent shall also prepare a practice plan and discuss the plan with the Board at Respondent's first meeting with the Board. The practice plan will focus on how Respondent will prevent similar misconduct from happening again.
- (m) Respondent shall notify the Division and Board within one (1) week of any change of employer or employment status. This is required regardless of whether Respondent is employed in Respondent's licensed occupation. The notification shall be in writing.
- (n) Respondent shall limit Respondent's practice in accordance with the terms of probation, unless the Division and the Board authorize changes.
- (o) In the event that Respondent leaves Utah for a period longer than 60 days, Respondent shall notify the Division and the Board in writing of the dates of departure and return. The licensing authorities of the jurisdiction to which Respondent moves must be promptly notified of the provisions of this Stipulation and Order. Periods of residency or practice outside Utah may apply to the reduction of the probation period if the new state of residency places Respondent's license on probation with equal or greater terms and conditions.
- (p) Periods of unemployment or employment in other fields of practice shall be reported by Respondent to the Division and shall not count toward completion of probation. Should Respondent not be employed in Respondent's licensed occupation during Respondent's probationary period for a consecutive period of more than sixty (60) days, that period shall not apply to the reduction of probation, though the terms of probation shall remain applicable. If the Respondent works less than full-time in Respondent's licensed occupation, the reduction of any remaining probationary time shall occur on a pro-rata basis in relation to a full-time position of 40 hours worked per week.

- (q) Should other acts of unprofessional conduct come to the attention of the Division or Board which have occurred prior to the entry of the Order in this case or should Respondent violate probation in any respect, the Division may, in addition to taking action as provided for herein, after giving Respondent notice and the opportunity to be heard, revoke probation or impose sanctions in accordance with applicable law
- (r) Respondent shall immediately notify the Division, in writing, of any changes in private or professional address and agrees that written communication by the Division and/or the Board shall be mailed to Respondent at the last address provided to the Division via first class U S Mail, and shall constitute notice to Respondent
- (s) Failure to pay for any of the costs associated with this probation shall be considered a violation of this Order Respondent further agrees to complete all conditions of probation in a timely manner Where a specific time for completion is not stated in this Order, it shall be within the Division and Board's discretion to set a time for completion
- (t) Respondent agrees to keep Respondent's Utah license active during the period of probation
- (u) Respondent shall notify the Division immediately if Respondent is charged or arrested with any criminal conduct and understands that a conviction is a violation of this agreement
- (v) Respondent shall submit reports on the date they are due and shall appear at scheduled meetings with the Division and Board promptly Failure to do so shall be considered a violation of this Stipulation and Order

9 This Stipulation and Order, upon approval by the Director of the Division, shall be the final compromise and settlement of this non-criminal administrative matter Respondent acknowledges that the Director is not required to accept the terms of this Stipulation and Order and that if the Director does not do so, this Stipulation and the representations contained therein shall be null and void, except that the Division and Respondent waive any claim of bias or prejudice they might otherwise have with regard to the Director by virtue of the Director

having reviewed this Stipulation, and this waiver shall survive such nullification

10 Respondent agrees to abide by and comply with all applicable federal and state laws regulations, rules and orders related to the Respondent's licensed practice

11 This document constitutes the entire agreement between the parties and supersedes and cancels any and all prior negotiations, representations, understandings or agreements between the parties regarding the subject of this Stipulation and Order There are no verbal agreements that modify, interpret, construe or affect this Stipulation Respondent agrees not to take any action or make any public statement, that creates, or tends to create, the impression that any of the matters set forth in this Stipulation and Order are without factual basis A public statement includes statements to one or more Board members during a meeting of the Board Any such action or statement shall be considered a violation of this Stipulation and Order

12. The accompanying Order becomes effective immediately upon the approval of this Stipulation and signing of the Order by the Division Director Respondent shall comply with all the terms and conditions of this Stipulation immediately following the Division Director's signing of the Order page of this Stipulation and Order Respondent shall complete all the terms and conditions contained in the Stipulation and Order in a timely manner If a time period for completion of a term or condition is not specifically set forth in the Stipulation and Order, Respondent agrees that the time period for completion of that term or condition shall be set by the Board Failure to complete a term or condition in a timely manner shall constitute a violation of the Stipulation and Order and may subject Respondent to revocation or other sanctions

13 If Respondent violates any term or condition of this Stipulation and Order the Division may take action against Respondent, including imposing appropriate sanction, in the

manner provided by law. Such sanction may include revocation or suspension of Respondent's license, or other appropriate sanction. If the Division files a Petition alleging that Respondent has engaged in new misconduct or files an Order to Show Cause Petition alleging that Respondent has violated any of the terms and conditions contained in this Stipulation and Order, the period of Respondent's probation shall be tolled during the period that the Petition or Order to Show Cause Petition has been filed and is unresolved.

14. Respondent has read each and every paragraph contained in this Stipulation and Order. Respondent understands each and every paragraph contained in this Stipulation and Order. Respondent has no questions about any paragraph or provision contained in this Stipulation and Order.

DIVISION OF OCCUPATIONAL &
PROFESSIONAL LICENSING

BY 
RICHARD J. OSBORN
Bureau Manager

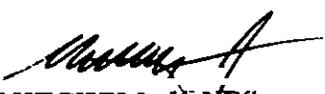
DATE 10/2/12

RESPONDENT

BY 
RONALD D. JENSEN

DATE 10-2-12

MARK I. SHURTLEFF
ATTORNEY GENERAL

BY 
L. MITCHELL JONES
Counsel for the Division

DATE 2 Oct 2012

ORDER

THE ABOVE STIPULATION, in the matter of RONALD D. JENSEN, is hereby approved by the Division of Occupational and Professional Licensing and constitutes my Findings of Fact and Conclusions of Law in this matter. The issuance of this Order is disciplinary action pursuant to Utah Administrative Code R156-1-102(6) and Utah Code Ann. § 58-1-401(2). The terms and conditions of the Stipulation are incorporated herein and constitute my final Order in this case.

DATED this 3rd day of October 2012

DIVISION OF OCCUPATIONAL AND
PROFESSIONAL LICENSING


MARK B. STEINAGEL
Director

Investigator: Jared Memmott

