

L. MITCHELL JONES (U.S B 5979)
Assistant Attorney General
JOHN E. SWALLOW (U S B 5802)
Attorney General
Commercial Enforcement Division
Heber M. Wells Building
Box 146741
Salt Lake City, UT 84114-6741
TEL. (801) 366-0310

**BEFORE THE DIVISION OF OCCUPATIONAL AND PROFESSIONAL LICENSING
OF THE DEPARTMENT OF COMMERCE
OF THE STATE OF UTAH**

IN THE MATTER OF THE LICENSES OF)
DUANE A. BATES) **STIPULATION AND ORDER**
TO PRACTICE AS A)
PHYSICIAN ASSISTANT AND TO) **CASE NO DOPL 2013-37**
ADMINISTER AND PRESCRIBE)
CONTROLLED SUBSTANCES)
IN THE STATE OF UTAH)

DUANE A. BATES (“Respondent”) and the **DIVISION OF OCCUPATIONAL AND PROFESSIONAL LICENSING** of the Department of Commerce of the State of Utah

(“Division”) stipulate and agree as follows:

- 1 Respondent admits the jurisdiction of the Division over Respondent and over the subject matter of this action.
- 2 Respondent acknowledges that Respondent enters into this Stipulation knowingly and voluntarily

3 Respondent understands that Respondent has the right to be represented by counsel in this matter and Respondent's signature below signifies that Respondent has either consulted with an attorney or Respondent waives Respondent's right to counsel in this matter.

4. Respondent understands that Respondent is entitled to a hearing before the State of Utah's Physician Assistant Licensing Board ("the Board"), or other Division Presiding Officer, at which time Respondent may present evidence on Respondent's own behalf, call witnesses, and confront adverse witnesses Respondent understands that by signing this document Respondent hereby waives the right to a hearing, the right to present evidence on Respondent's own behalf, the right to call witnesses, the right to confront adverse witnesses, and any other rights to which Respondent may be entitled in connection with said hearing Respondent understands that by signing this document Respondent hereby knowingly and intelligently waives the right to all administrative and judicial review as set forth in §§ 63G-4-301 through 63G-4-405, and Utah Administrative Code R151-46b-12 through R151-46b-15. Respondent and the Division hereby express their intent that this matter be resolved expeditiously through stipulation as contemplated in Utah Code Ann. § 63G-4-102(4).

5. Respondent waives the right to the issuance of a Petition and a Notice of Agency Action in this matter

6. Respondent acknowledges that this Stipulation and Order, if adopted by the Director of the Division, will be classified as a public document The Division may release this Stipulation and Order, and will release other information about this disciplinary action against Respondent's license, to other persons and entities

7. Respondent admits the following facts are true

- a. Respondent was first licensed to practice as a physician assistant and to administer and prescribe controlled substances in the State of Utah on or about March 3, 1989
- b. Between April 2012 and January 2013, on six occasions, Respondent prescribed quantities of Vyvanse, a Schedule II controlled substance, to a friend. Respondent did not have a valid delegation of services agreement with any supervising physician during that time period. Respondent's friend was a drug dependent person and had been received Vyvanse from other prescribing practitioners at the same time without telling Respondent. Nothing in the friend's medical record indicated that the friend should be prescribed Vyvanse. Respondent did not examine the friend before prescribing the Vyvanse. Respondent failed to keep accurate records of Respondent's prescribing Vyvanse to the friend

8 Respondent admits that Respondent's conduct described above is unprofessional conduct as defined in Utah Code Ann. § 58-1-501(2)(a) and (m), § 58-70a-503(2), (4), and (5) and Utah Administrative Code R156-37-502(6) and R156-37-602(1). Further Respondent agrees by engaging in such conduct the Division is justified in taking disciplinary action against Respondent's license pursuant to Utah Code Ann § 58-1-401(2)(a). Respondent agrees that an Order, which constitutes disciplinary action against Respondent's license by the Division pursuant to Utah Administrative Code R156-1-102(6) and Utah Code Ann. § 58-1-401(2), may be issued in this matter providing for the following action against Respondent's license.

- (1) Respondent's license shall be publicly reprimanded for the conduct described above.
- (2) Respondent shall be assessed a fine of \$2,000 00 (two-thousand dollars), of which \$1,000 00 (one-thousand dollars) shall be suspended. If Respondent violates any provision of this Stipulation and Order the Division may take action to lift the suspended portion of the fine. Respondent shall pay \$1,000 00 (one-thousand dollars) to the Division, pursuant to Utah Code Ann § 58-31b-503 and Utah Administrative Code

R156-31b-402 to the Division within 90 days of the effective date of this Stipulation and Order.

- (3) Respondent shall cease and desist from the unprofessional conduct described above

9 This Stipulation and Order, upon approval by the Director of the Division, shall be the final compromise and settlement of this non-criminal administrative matter. Respondent acknowledges that the Director is not required to accept the terms of this Stipulation and Order and that if the Director does not do so, this Stipulation and the representations contained therein shall be null and void, except that the Division and the Respondent waive any claim of bias or prejudice they might otherwise have with regard to the Director by virtue of the Director having reviewed this Stipulation, and this waiver shall survive such nullification

10 Respondent agrees to abide by and comply with all applicable federal and state laws, regulations, rules and orders related to the Respondent's licensed practice.

11 This document constitutes the entire agreement between the parties and supersedes and cancels any and all prior negotiations, representations, understandings or agreements between the parties regarding the subject of this Stipulation and Order. There are no verbal agreements that modify, interpret, construe or affect this Stipulation Respondent agrees not to take any action or make any public statement, that creates, or tends to create, the impression that any of the matters set forth in this Stipulation and Order are without factual basis A public statement includes statements to one or more Board members during a meeting of the Board Any such action or statement shall be considered a violation of this Stipulation and Order

12 The accompanying Order becomes effective immediately upon the approval of this Stipulation and signing of the Order by the Division Director Respondent must comply with all

the terms and conditions of this Stipulation immediately following the Division Director's signing of the Order page of this Stipulation and Order. Respondent shall complete all the terms and conditions contained in the Stipulation and Order in a timely manner. If a time period for completion of a term or condition is not specifically set forth in the Stipulation and Order, Respondent agrees that the time period for completion of that term or condition shall be set by the Board. Failure to complete a term or condition in a timely manner shall constitute a violation of the Stipulation and Order and may subject Respondent to revocation or other sanctions.

13. If Respondent violates any term or condition of this Stipulation and Order, the Division may take action against Respondent, including imposing appropriate sanction, in the manner provided by law. Such sanction may include revocation or suspension of Respondent's license, or other appropriate sanction.

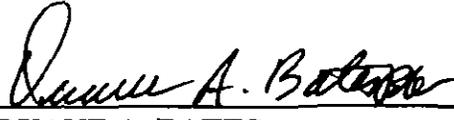
14. Respondent understands that the disciplinary action taken by the Division in this Stipulation and Order may adversely affect any license that Respondent may possess in another state or any application for licensure Respondent may submit in another state.

15. Respondent has read each and every paragraph contained in this Stipulation and Order. Respondent understands each and every paragraph contained in this Stipulation and Order. Respondent has no questions about any paragraph or provision contained in this Stipulation and Order.

DIVISION OF OCCUPATIONAL &
PROFESSIONAL LICENSING

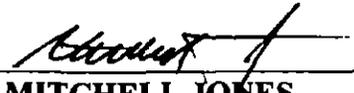
BY: 
NOEL TAXIN
Bureau Manager

RESPONDENT

BY: 
DUANE A. BATES
Respondent

DATE 1/17/13

JOHN E SWALLOW
ATTORNEY GENERAL

BY: 
L. MITCHELL JONES
Counsel for the Division

DATE: 1/17/2013.

DATE 22 Jan 2013

ORDER

THE ABOVE STIPULATION, in the matter of **DUANE A. BATES**, is hereby approved by the Division of Occupational and Professional Licensing, and constitutes my Findings of Fact and Conclusions of Law in this matter. The issuance of this Order is disciplinary action pursuant to Utah Administrative Code R156-1-102(6) and Utah Code Ann. § 58-1-401(2) The terms and conditions of the Stipulation are incorporated herein and constitute my final Order in this case

DATED this 23rd day of January, 2013.

DIVISION OF OCCUPATIONAL AND
PROFESSIONAL LICENSING


MARK B. STEINAGEL
Director

Investigator Rob Italsano