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DIVISION OF OCCUPATIONAL
& PROFESSIONAL LICENSING

BEFORE THE DIVISION OF OCCUPATIONAL AND PROFESSIONAL LICENSING

OF THE DEPARTMENT OF COMMERCE

OF THE STATE OF UTAH

IN THE MATTER OF THE LICENSE OF **S&S ROOFING, INC** TO OPERATE AS A CONTRACTOR IN THE STATE OF UTAH	)))))	**STIPULATION AND ORDER** CASE NO. DOPL 2011- 75

S&S ROOFING, INC ("Respondent") and the Construction Services Commission ("Commission") of the Department of Commerce of the State of Utah stipulate and agree as follows:

1. Respondent admits the jurisdiction of the Commission and the Division of Occupational and Professional Licensing ("Division") over Respondent and over the subject matter of this action. Josh P. Swensen is the owner of Respondent company and is authorized to act as agent for and enter into binding agreements on behalf of Respondent company. In this Stipulation and Order, the term "Respondent" shall mean both S&S Roofing, Inc and Josh P. Swensen, individually and collectively.

2. Respondent acknowledges that Respondent enters into this Stipulation knowingly and voluntarily.

3. Respondent understands that Respondent has the right to be represented by counsel in this matter and Respondent's signature below signifies that Respondent has either consulted with an attorney or Respondent waives Respondent's right to counsel in this matter.

4. Respondent understands that Respondent is entitled to a hearing before the Commission, or other Presiding Officer, at which time Respondent may present evidence on Respondent's own behalf, call witnesses, and confront adverse witnesses. Respondent acknowledges that by executing this document Respondent hereby waives the right to a hearing and any other rights to which Respondent may be entitled in connection with said hearing. Respondent understands that by signing this document Respondent waives all rights to any administrative and judicial review as set forth in Utah Code Ann. §§ 63G-4-301 through 63G-4-405 and Utah Administrative Code R151-46b-12 through R151-46b-15. Respondent and the Division hereby express their intent that this matter be resolved expeditiously through stipulation as contemplated in Utah Code Ann. § 63G-4-102(4).

5. Respondent waives the right to the issuance of a Petition and a Notice of Agency Action in this matter.

6. Respondent acknowledges that this Stipulation and Order, if adopted by the Commission and Division, will be classified as a public document. The Commission and Division may release this Stipulation and Order, and will release other information about this disciplinary action against Respondent's license to other persons and entities.

7. Respondent admits the following facts are true:

a. Respondent was first licensed as a contractor in the State of Utah on or about December 10, 2003.

b. Respondent is currently delinquent for an outstanding tax lien in the amount of approximately $120,106.00, due to the Internal Revenue Service. Respondent has payment arrangement with the Internal Revenue Service to pay this obligation in monthly payments of $1,248. Additionally, Respondent filed an Offer and Compromise with the Internal Revenue Service on or about March 1, 2011.

c. Respondent is currently delinquent on a tax lien entered in the amount of $858.80, due to the Utah State Tax Commission.

d. Respondent is currently delinquent the amount of approximately $72,611.99, due to Dex One for a past due account. Respondent has a payment arrangement with Dex One to pay this obligation in minimum monthly installments of $3,400.00.

e. Josh P. Swenson is currently delinquent the amount of approximately $195,801.00 on total debt of approximately $2,813,178.00, as indicated on his personal credit report dated March 16, 2011.

f. On the application for renewal of license filed with the Division on or about November 17, 2009, Respondent made a misrepresentation by claiming that all judgments and tax liens had been paid.

8. Respondent admits that Respondent's conduct described above is unlawful conduct as defined in Utah Code Ann. § 58-1-501(1)(e) and § 58-55-501(9) and unprofessional conduct as defined in Utah Code Ann. § 58-1-501(2)(a) and § 58-55-502(1); and that said conduct justifies disciplinary action against Respondent's license pursuant to Utah Code Ann. § 58-1-401(2)(a) and (b). Respondent understands that the issuance of the Order in this matter is disciplinary action by the Division against Respondent's license pursuant to Utah Administrative Code R156-1-102(6) and Utah Code Ann. § 58-1-401(2). Respondent agrees that an Order shall be entered in this matter as follows:

(1) Respondent shall pay a fine of $500.00 (five-hundred dollars) to the Division, pursuant to Utah Code Ann. § 58-55-503, within 90 days of the effective date of this Stipulation and Order.

(2) Respondent's license shall be subject to a term of probation for a period of ten years. The period of probation shall commence on the effective date of this Stipulation and Order, which is the date the Division Director signs the Order. During the period of probation, Respondent shall be subject to all of the following terms and conditions. If the Commission or Division later deems any of the conditions unnecessary such deletions may be made by an amended order issued unilaterally by the Commission or Division.

a. Respondent shall meet with the Commission or a Division compliance specialist on a quarterly basis, or at such other greater or lesser frequency as determined by the Division, for the duration of the Stipulation and Order.

b. Respondent shall provide the Division with the following documents on a quarterly basis:

(i) a current copy of an Experian Business Profile business credit report for S&S Roofing Inc;

(ii) a personal credit report for Josh P. Swensen;

(iii) if the credit reports provided indicate any negative items not previously reported or an increase in the past due amount, then Respondent shall additionally provide full written details of the negative items on the credit reports; and

(iv) verification that the debt payments identified in paragraph 7 have been timely paid.

c. Respondent shall provide the Division with the following documents on an annual basis, or at such other greater or lesser frequency, as determined by the Commission and Division, for the duration of the Stipulation and Order:

(i) a business income tax return for S&S Roofing, Inc;

(ii) a personal income tax return for Josh P. Swensen; and

(iii) a current financial statement for S&S Roofing Inc.

d. Respondent shall immediately notify the Division of Respondent's failure to pay any financial obligation that is 60 days past due. The notification shall state to whom the obligation is owed, the amount past due, and the date the obligation, including any penalties and interest, is expected to be fully paid.

e. Respondent shall notify the Division within 10 days after any collection lawsuit, judgment or tax lien is filed against the Respondent.

f. Respondent agrees that the maintenance of a solvent financial condition, the timely payment of obligations as they become due, including timely payment of the obligations identified in paragraph 7, and compliance with all terms and conditions of this Stipulation and Order, are conditions of maintaining Respondent's license.

g. Respondent shall maintain a current license at all times during the period of this agreement.

h. Respondent shall immediately notify the Division in writing of any change in Respondent's business address.

i. Respondent shall be responsible for payment of all costs associated with this Stipulation and Order. Failure of Respondent to pay the costs associated with this Stipulation and Order constitutes a violation of this Stipulation and Order.

j. If Respondent has still not demonstrated financial responsibility as set forth in Utah Code Ann. § 58-55-306 at the end of the probationary period, the Division may take appropriate action against Respondent. The period of probation shall be tolled while any petition alleging unlawful or unprofessional conduct is pending.

9. This Stipulation and Order, upon approval by the Commission and Division Director, shall be the final compromise and settlement of this non-criminal administrative matter. Respondent acknowledges that the Commission and Director are not required to accept the terms of this Stipulation and Order and that if the Commission does not do so, this Stipulation and the representations contained therein shall be null and void, except that the Commission, Director and the Respondent waive any claim of bias or prejudgment Respondent might have with regard to the Commission and Director by virtue of his having reviewed this Stipulation, and this waiver shall survive such nullification.

10. Respondent agrees to abide by and comply with all applicable federal and state laws, regulations, rules and orders related to the Respondent's licensed practice. If the Division files a Petition alleging that Respondent has engaged in new misconduct or files an Order to Show Cause Petition alleging that Respondent has violated any of the terms and conditions contained in this Stipulation and Order, the period of Respondent's probation shall be tolled during the period that the Petition or Order to Show Cause Petition has been filed and is unresolved.

11. This document constitutes the entire agreement between the parties and supersedes and cancels any and all prior negotiations, representations, understandings or agreements between the parties regarding the subject of this Stipulation and Order. There are no verbal agreements that modify, interpret, construe or affect this Stipulation. Respondent agrees not to take any action or make any public statement, that creates, or tends to create, the impression that any of the matters set forth in this Stipulation and Order are without factual basis. A public statement includes statements to one or more Commission or Board members during a meeting of the Commission or Board. Any such action or statement shall be considered a violation of this Stipulation and Order.

12. The terms and conditions of this Stipulation and Order become effective immediately upon the approval of this Stipulation and signing of the Order by the Commission and Division Director. Respondent must comply with all the terms and conditions of this Stipulation immediately following the Commission and Division Director's signing of the Order page of this Stipulation and Order. Respondent shall complete all the terms and conditions contained in the Stipulation and Order in a timely manner. If a time period for completion of a

term or condition is not specifically set forth in the Stipulation and Order, Respondent agrees that the time period for completion of that term or condition shall be set by the Commission. Failure to complete a term or condition in a timely manner shall constitute a violation of the Stipulation and Order and may subject Respondent to revocation or other sanctions.

13. Respondent understands that the disciplinary action taken by the Division in this Stipulation and Order may adversely affect any license that Respondent may possess in another state or any application for licensure Respondent may submit in another state.

14. If Respondent violates any term or condition of this Stipulation and Order, the Commission may take action against Respondent, including imposing appropriate sanction, in the manner provided by law. Such sanction may include revocation or suspension of Respondent's license, or other appropriate sanction.

15. Respondent has read each and every paragraph contained in this Stipulation and Order. Respondent understands each and every paragraph contained in this Stipulation and Order. Respondent has no questions about any paragraph or provision contained in this Stipulation and Order.

DIVISION OF OCCUPATIONAL &
PROFESSIONAL LICENSING

BY: _____
DAN S. JONES
Bureau Manager

DATE: 5-4-11

MARK L. SHURTLEFF
ATTORNEY GENERAL

BY: _____
L. MITCHELL JONES
Counsel for the Division

DATE: 3 May 2011

RESPONDENT

BY: _____
JOSH P. SWENSEN
S&S Roofing, Inc

DATE: 04/28/11

ORDER

THE ABOVE STIPULATION, in the matter of **S&S ROOFING, INC**, is hereby approved by the Construction Services Commission, and constitutes its Findings of Fact and Conclusions of Law in this matter. The issuance of this Order is disciplinary action pursuant to Utah Administrative Code R156-1-102(6) and Utah Code Ann. § 58-1-401(2). The terms and conditions of the Stipulation are incorporated herein and constitute the Commission's final Order in this case.

DATED this 25 day of May, 2011.

CONSTRUCTION SERVICES COMMISSION
Representative

I concur with the above Stipulation and Order, which the Construction Services Commission has approved.

DATED this 25 day of May, 2011.

DIVISION OF OCCUPATIONAL AND
PROFESSIONAL LICENSING

MARK B. STEINAGEL
Director